## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Masayoshi HIROSE et al.

Mail Stop: PCT

Serial No. 10/534,507

Attorney Docket No. 2005-0699A

Filed May 11, 2005

POLISHING APPARATUS [Corresponding to PCT/JP03/13628 Filed October 24, 2003]

## INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

THE COMMISSIONER IS AUTHORIZED TO CHARGE ANY DEFICIENCY IN THE FEES FOR THIS PAPER TO DEPOSIT **ACCOUNT NO. 23-0975** 

Sir:

Pursuant to the provisions of 37 CFR 1.56, 1.97 and 1.98, Applicants request consideration of the references listed on attached form PTO-1449 and any additional information identified below in paragraph 3. A legible copy of each reference listed on the Form PTO-1449 is enclosed, except a copy is not provided for:

	[X]	th U.S. Patent and U.S. Patent application publication;						
		each reference previously cited in the international application PCT/; and/or						
	[]	each reference previously cited in prior parent application Serial No.						
la.	[X] Thi	is Information Disclosure Statement is submitted:						
		within three months of the filing date (or of entry into the National Stage) of the above-entitled application. or						

before the mailing of a first Office Action on the merits or the mailing of a first Office Action after the filing of an RCE,

and thus no certification and/or fee is required.

1b.	[] This Information Disclosure Statement is submitted
	after the events of above paragraph 1a and prior to the mailing date of a final Office Action or a Notice of Allowance or an action which otherwise closes prosecution in the application, and thus:
	(1) [] the certification of paragraph 2 below is provided, or
	(2) [] the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.
1c.	[] This Information Disclosure Statement is submitted:

after the mailing date of a final Office Action or Notice of Allowance or action which otherwise closes prosecution in the application, and prior to payment of the issue fee, and thus:

the certification of paragraph 2 below is provided, and

the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.

- 2. It is hereby certified
  - a. [] that each item of information contained in this Information Disclosure

    Statement was first cited in any communication from a foreign patent office in a

    counterpart foreign application not more than three months prior to the filing of
    the Statement, or
  - b. [] that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of the Statement.
- 3. [] Consideration of the following list of additional information (including any copending or abandoned U.S. application, prior uses and/or sales, etc.) is requested.

- 4. For each non-English language reference listed on the attached form PTO-1449, reference is made to:
  - a. [X] a full or partial English language translation submitted herewith,
  - b. [X] a foreign patent office search report (in the English language) submitted herewith,
  - c. [] the concise explanation contained in the specification of the present application at page,
  - d. [X] the concise explanation set forth in the attached English language abstract,
  - e. [X] the concise explanation set forth below or on a separate sheet attached to the reference:

US 6,077,153 corresponds to JP 10-156705 US 5,664,989 corresponds to JP 9-97772

5. [X] A foreign patent office search report citing one or more of the references is enclosed.

Respectfully submitted,

Masayoshi HIROSE et al.

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Attorney for Applicants

NEP/krg Washington, D.C. 20006-1021 Telephone (202) 721-8200 Facsimile (202) 721-8250 August 31, 2006

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FORM PTO 1449 (		"  IMENT OF COMMERC	ii	ATTY DOCKET NO. SERIAL I 2005-0699A 10/534			no. ,507				
U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE LIST OF REFERENCES CITED BY APPLICANT(S)				APPLICANT Masayoshi HIROSE et al.							
(Use several sheets if necessary)  Date Submitted to PTO: August 31, 2006				FILING DATE May 11, 2005			GROUP				
U.S. PATENT DOCUMENTS											
*EXAMINER INITIAL		DOCUMENT NUMBER	DOCUMENT DATE NAME CLASS		LASS	SUBCLASS FILING DATE IF APPROPRIATE					
	AA	6,077,153	6/2000	Fujita et al.							
	AB	5,664,989	9/1997	Nakata et al.							
	AC										
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			FORE	IGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	Cı	ASS	SUBCLASS	TRANSL YES	ATION NO		
	AJ	10-156705	6/1998	JP				Х			
,	AK	9-97772	4/1997	JP		-		Х			
	AL	5-285825	11/1993	JP				Х			
	AM	10-6207	1/1998	JP				х			
	AN	2002-246346	8/2002	JP				Х			
	AO	2001-71250	3/2001	JP				Х			
		OTHER	DOCUMENT(S) (II	ncluding Author, Title, Date, Pertin	nent Pages	s, Etc.)					
	AP										
	AQ										
EXAMINER				DATE CONSIDER	RED						

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.